



State of Play of FP7 and CIP

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Outline

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- Decision Process with Council and Parliament
- Budget Situation
- First Work Programmes
- ETPs' Input to Work Programmes
- Operational Issues

o **CIP**

- Decision Process and Budget Situation
- Work Programmes and Operational Issues

o **FP7 Multi-financed Large-scale Initiatives**

- ICT Article 169 Initiatives and ERA-NET Schemes
- ICT Joint Technology Initiatives



FP7 Decision Process with Council and Parliament

o Commission proposals

- FP7 : April 2005
- FP7 Specific Programmes (SP) : September 2005
- FP7 Rules for Participation (RfP) : December 2005

o Council

- Partial agreement on an amended FP7 text : November 2005
 - Collaborative research core; strong support to ICT; simplify and accelerate procedures
- Examination of SP and RfP : January-February 2006
 - Large measure of agreement on S&T objectives and content for trans-national collaborative research
- Policy debate on SP and RfP: 13 March '06 Competitiveness Council
 - Research involving human embryonic stem cells, Comitology; Functioning of ERC
- Set of footnoted texts: 29 May '06 Competitiveness Council



FP7 Decision Process with Council and Parliament

o Parliament

- Report on FP7 from Mr. Buzek : January 2006 with deadline for amendments 15 March 2006
- Discussions on SPs started with Ms. Madurell as rapporteur for Cooperation SP
- First reading on FP7 and RfP and opinion on SPs : Summer/Autumn 2006?



FP7 Budget Situation

- European Council agreement on EU Financial Perspectives 2007-13 : December 2005
 - “... Particular priority to research ... Substantial and progressive enhancement ... By 2013, resources 75% higher than in 2006 ...”
- To help achieving new Inter-Institutional Agreement (IIA) in time : 14 February 2006 document from Commission showing what could be interpretation of Council’s agreement on legislative proposals for 2007-13

- For research (FP7 + Euratom, in 2004 prices):

Year	2006	2007	2008	2009	2010	2011	2012	2013	Total
Research	5044	5170	5552	6028	6644	7426	8110	8851	47781

- New Inter-Institutional Agreement (IIA) : May/June 2006?
- Set of revised legislative proposals, including proposals for Joint Technology Initiatives and Joint National Programmes



First Work Programmes under FP7

- Setting the general orientations, main objectives and structure
- Defining more detailed objectives, budgets and mechanisms within each priority research topic
- Drafting first Work Programme texts
- Interservice consultation, opinion of the Programme Committee and adoption by the Commission
- Publication of the first Call(s) for proposals



ETPs' Input to Work Programmes

- ETP's Strategic Research Agendas are important inputs reflecting current industry-relevant priorities for parts of Specific Programme
- Other sources of input include Stakeholder Consultations, External Advisory Groups, EC Policy Directorate Generals
- ETPs can help
 - To facilitate the emergence of common approaches to accelerate technology progress and uptake
 - To improve the impact of public and private research by combining research, technology development, adaptation of the regulatory framework and actions to support best use
 - To raise the level of awareness of policy makers of the needs and help build a momentum and a clear case to support research



FP7 Operational Issues

- Model contracts and procedures
- Guidance for proposers and evaluators
- Development/adaptation of IT tools
- Information and communication actions



CIP Decision Process and Budget Situation

- Commission proposal : April 2005 (including Specific Programmes)
- Council :
 - Presidency compromise text under discussion, with main issues of complementarity between CIP and FP7 and the structural funds, focus on SMEs, horizontal use of instruments, introduction of a vademecum for participants
 - No shifts in scope for ICT Policy Support Programme
 - Partial agreement on an amended CIP text : 13 March 2006 Competitiveness Council



CIP Decision Process and Budget Situation

o Parliament :

- ITRE vote on amendments in January 2006
- Additional emphasis on SMEs for ICT Policy Support Programme, but no shifts in scope

o Budget situation:

- 14 February 2006 document from Commission shows for CIP (in 2004 prices):

Year	2006	2007	2008	2009	2010	2011	2012	2013	Total
CIP	339	356	373	390	413	433	450	469	2884



CIP Work Programmes and Operational Issues

- o Setting general orientations, defining more detailed objectives, drafting first texts, adoption and publication
- o CIP and eContent-Plus (for 2007-08) :
Work Programmes, Comitology, Contracts, Procedures



FP7 Multi-financed Large-scale Initiatives

- Joint implementation of national research programmes on the basis of Article 169 of the Treaty (“Community participation in R&D programmes undertaken by several Member States”)
- Joint Technology Initiatives (JTI) in fields of major European public interest on subjects identified through dialogue with industry, in particular in the European Technology Platforms
- New research infrastructures of European interest, ensuring a service to the scientific community and industry at European level



FP7 Multi-financed Large-scale Initiatives

o Characteristics

- Decided directly by Council and Parliament, or the Council in consultation with the Parliament
- Suitability in particular for “variable geometry” initiatives associating those countries which are most interested
- Implementation through financial plans which will combine, in variable proportions, several sources and kinds of funding



ICT Article 169 Initiatives and ERA-NET Schemes

- FP7 proposal “Ambient Assisted Living”
 - MSs’ initiative, Commission proposal
 - A number of Member States is helping prepare proposal with formal national commitments and implementation details (“earmarked” budgets, scalable and efficient rules and procedures, dedicated structure etc)
 - Preliminary positive opinion from CREST on four initiatives in FP7 proposal; further discussions concerning degree of financial integration of Member States contributions
- Desired effects:
 - Catalytic effect of Community funding on other public research spending
 - Enhancing complementarity and synergy between the FP and activities carried out in EUREKA, COST etc.



ICT Article 169 Initiatives and ERA-NET Schemes

- Timing:
 - Proposal after new IIA in May/June 2006?
 - Adoption expected 6-9 months after proposal
- In addition: Reinforcement of ERA-NET scheme under FP7:
 - ERA-NET “coordination platforms”: New topics + Broadening and deepening scope of running ERA-NETs / Coordination Actions (systematic info exchange, analyses, common roadmapping, sharing of best practice, coordinated programmes, mutual opening of programmes etc.)
 - ERA-NET-PLUS module for “joint trans-national programming” including Community topping up of joint calls



ICT Joint Technology Initiatives

- FP7 proposal “Nanoelectronics” and “Embedded Computing Systems”
 - Mainly industry initiative; Commission proposal
 - Subjects identified through dialogue with industry, in particular in ENIAC and ARTEMIS European Technology Platforms
 - Scope of objectives and scale of resources to justify setting up long-term public private partnership (rather than using existing instruments)
 - Combine several sources and kinds of funding (private, national and European public funding etc)
 - Cover small number of selected aspects of research in the field (rather than the whole Strategic Research Agenda)
- Timing:
 - Proposals after new IIA in May/June 2006?
 - Council decision in consultation with Parliament



Art. 169s & JTIs

- Budget allocation?
 - Depending on final agreements FP7 budget and its share-out
- Implementation details?
 - A “one-size-fits-all” approach is not appropriate



In Summary

- Budget situation for FP7 and CIP still not final
- Decision process with Council and Parliament on-going with partial agreements
- Detailed proposals for multi-financed large-scale initiatives to be issued
- First Work Programmes and operational issues under preparation